

03500.017455

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|--------------------------------|---|----------------------------------|
| In re Application of: |) | |
| TOSHIHIKO OUCHI |) | Examiner: Not Yet Assigned |
| Appl. No. 10/730,033 |) | Group Art Unit: Not Yet Assigned |
| Filed: December 9, 2003 |) | |
| For: OPTICAL WAVEGUIDE DEVICE, |) | |
| LAYERED SUBSTRATE AND |) | |
| ELECTRONICS USING THE |) | |
| SAME |) | January 26, 2004 |

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SECOND INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the document listed on the enclosed Form PTO-1449. Since the U.S. Patent and Trademark Office waived the requirement under 37 C.F.R. § 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 U.S.C. § 371 after June 30, 2003, no copies of such documents are enclosed. Copies of the other listed documents are enclosed.

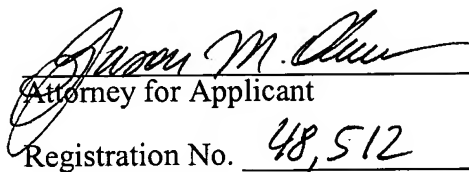
The concise explanation of relevance for the non-English document may be found, inter alia, in the specification where it is cited and/or in the English language abstract attached thereto.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicant's undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

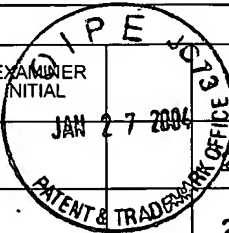
Respectfully submitted,



Attorney for Applicant
Registration No. 48,512

FITZPATRICK, CELLA, HARPER & SCINTO
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Facsimile: (212) 218-2200

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| FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) | | ATTY DOCKET NO. 03500.017455 | | APPLICATION NO. 10/730,033 | |
| APPLICANT <div style="text-align: center; font-weight: bold; font-size: 1.2em;">Toshihiko Ouchi</div> | | FILING DATE <div style="text-align: center; font-weight: bold;">December 9, 2003</div> | | GROUP <div style="text-align: center; font-weight: bold;">N.Y.A.</div> | |

| U.S. PATENT DOCUMENTS | | | | | | | |
|--|--------------------|----------|-------|-------|----------|-------------------------------|--|
| *EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | |
|  | 2003/0179979 A1 | 09/25/03 | Ouchi | 385 | 14 | | |
| | 2003/0039455 A1 | 02/27/03 | Ouchi | 385 | 88 | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|--------------------|----------|---------|-------|----------|---------------------------------------|--|
| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES/NO/ OR ABSTRACT | |
| JP | 9-270751 | 10/14/97 | Japan | | | Abstract | |
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| OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.) | | |
|--|--|--|
| | | L Balliet et al., "Optical Transmission System for Interconnecting Electronic Units," 26(4) IBM Technical Disclosure Bulletin 1793-1796 (1983). |
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| EXAMINER | DATE CONSIDERED |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1